

**Loan Recipient's Certification and Summary**  
**of**  
**Disadvantaged Business Enterprises (DBE) Participation**  
**On State Revolving Fund (SRF) Projects**

SRF Loan Recipient: \_\_\_\_\_ SRF Loan No. \_\_\_\_\_

**INSTRUCTIONS TO SRF LOAN RECIPIENTS**

The SRF Loan Recipient's Authorized Representative must clearly indicate the Contractor's **Disadvantaged Business Enterprises (DBE)** participation results by placing a check in the appropriate box below. The remainder of the form must be completed **if DBE (Minority Business Enterprise-MBE or Women's Business Enterprise –WBE)** participation was obtained. The form must be signed and dated and returned to Dr. Bagher Sami of the Administrative Section of the SRF Loan Program.

The **completed Form** must be accompanied by **copies of the certified letters** sent from the selected Bidder to a minimum of 10 qualified DBE potential subcontractors, supplies vendor, services provider, and/or equipment vendors, and **copies of the corresponding return mail receipts**.

The SRF Loan Program must be notified of any changes, additions, or deletions to the contract during construction.

☐ **No, Disadvantaged Business Enterprises (DBE) participation was not obtained for this SRF-funded project.** I certify that a good-faith effort was made to solicit **DBE** participation in accordance with the four affirmative steps outlined in the SRF Loan Program's Requirements for Solicitation and Documentation of **DBE** Participation on SRF-Funded Projects. A letter documenting that a good-faith effort was made to secure **DBE** participation has been provided to the SRF Loan Program.

**OR**

☐ **Yes, Disadvantaged Business Enterprises (DBE) participation was obtained for this SRF-funded project.** I certify that the **DBE** firms participating in this SRF-funded project are qualified in accordance with the SRF Loan Program's Requirements for Solicitation and Documentation of **DBE** Participation on SRF-Funded Projects. Below is a listing of firms to be utilized and the amounts of their respective participation.

1. **DBE type (circle one):**    **Subcontractor,**    **Supplies Vendor,**    **Service Provider,**    **Equipment Vendor**  
**DBE Name:** \_\_\_\_\_  
**Address:** \_\_\_\_\_  
**Subcontract Amount:**    \$ \_\_\_\_\_    **MBE**    \_\_\_\_\_    **WBE**    \_\_\_\_\_    **% of Contract \$:**    \_\_\_\_\_    %
2. **DBE type (circle one):**    **Subcontractor,**    **Supplies Vendor,**    **Service Provider,**    **Equipment Vendor**  
**DBE Name:** \_\_\_\_\_  
**Address:** \_\_\_\_\_  
**Subcontract Amount:**    \$ \_\_\_\_\_    **MBE**    \_\_\_\_\_    **WBE**    \_\_\_\_\_    **% of Contract \$:**    \_\_\_\_\_    %
3. **DBE type (circle one):**    **Subcontractor,**    **Supplies Vendor,**    **Service Provider,**    **Equipment Vendor**  
**DBE Name:** \_\_\_\_\_  
**Address:** \_\_\_\_\_  
**Subcontract Amount:**    \$ \_\_\_\_\_    **MBE**    \_\_\_\_\_    **WBE**    \_\_\_\_\_    **% of Contract \$:**    \_\_\_\_\_    %
4. **DBE type (circle one):**    **Subcontractor,**    **Supplies Vendor,**    **Service Provider,**    **Equipment Vendor**  
**DBE Name:** \_\_\_\_\_  
**Address:** \_\_\_\_\_  
**Subcontract Amount:**    \$ \_\_\_\_\_    **MBE**    \_\_\_\_\_    **WBE**    \_\_\_\_\_    **% of Contract \$:**    \_\_\_\_\_    %

**PARTICIPATION SUMMARY**

<b>Total SRF Loan Amount:</b>	<b>\$</b> _____	<b>Total Construction Contract Amount:</b>	<b>\$</b> _____
<b>Total MBE Participation:</b>	<b>\$</b> _____	<b>Total WBE Participation:</b>	<b>\$</b> _____

\_\_\_\_\_  
Signature and Title of SRF Loan Recipient's Authorized Representative

\_\_\_\_\_  
Date